MAT-8201US PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No:

09/989,561

Applicant:

Mitsuru Endo et al. November 20, 2001

Filed:

METHOD AND APPARATUS FOR TEXT INPUT UTILIZING

SPEECH RECOGNITION

TC/A.U.:

2655

1678

Examiner:

Doris H. To

Confirmation:
Docket No.:

MAT-8201US

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Technology Center 2600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Please note that JP 02-298997 was submitted to the USPTO in an Information Disclosure Statement dated November 20, 2001. Therefore, it is not being resubmitted at this time.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b). A statement, however, is provided herewith:

PTO/SB/21 (AW)(03-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/989,561 Application Number TRANSMITTAL November 20, 2001 Filing Date **FORM** Mitsuru Endo et al. First Named Inventor (to be used for all correspondence after initial filing) DEC 0 2 2003 Art Unit 2655 Doris H. To Technology Center 2600 **Examiner Name**

Total Number of Pages in This Submission 8 + 1	2 references	Attorney Docket No.	MAT-8201	ius		
ENCLOSURES (Check all that apply)						
Fee Transmittal Form Fee Attached	Drawii Licens	ng(s) sing-related Papers		After Allowance Communication to Group Appeal Communication to Board		
Amendment/Reply After Final Affidavits/Declaration(s)		n to Convert to a ional Application		of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
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Firm or Individual lawrence E. Ashery		Registration No. (Atto	rney/Agent)	34,515		
Date November 26, 2003						
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENTS, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

MAT-8201US PATENT

STATEMENT

The undersigned hereby states that each item of information disclosed in the Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Lawrence E. Ashery, Reg. No. 34,515 Attorney for Applicants

LEA/fp

Enclosures: PTO Form 1449 (2 sheets)

European Search Report

(#2) References Transmittal Form

Dated:

November 26, 2003

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Kn wn		
Application Number	09/989,561	
Filing Date	November 20, 2001	
First Named Inventor	Mitsuru Endo et al.	·
Art Unit	2655	
Examiner Name	Doris H. To	
Attorney Docket No.	MAT-8201US	

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	Document Number			Pages, Columns, Lines, Where
Cite No. ¹	Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS						
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-		WO 96/03741 A	02/08/96	INT META SYSTEMS, INC.	See search report.	
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¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

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For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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SHEET 2 of 2

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
		European Search Report for EP 01 12 6554, dated November 14, 2003.	
		YAMADA et al., "Japanese Dictation System Using Character Source Modeling", Digital Signal Processing 2, Estimation, VLSI, San Francisco, Mar. 23-26, 1992, Proceedings of the international Conference on Acoustics, Speech and Signal Processing, New York, IEEE, US, Vol. 5, Conf. 17, March 23, 1992, pp. 37-40.	
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